CVPTO 24 FEB 2006

FORM PTO-1390 (REV 5-93)

U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

ATTORNEY DOCKET NUMBER 026390-00034

TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371

DATE: February 24, 2006

U.S. APPLICATION NUMBER (IF KNOWN/SEE 37 C.E.R. 1.5) NEW PAIRENT ARPLICATION

INTERNATIONAL APPLICATION NUMBER

INTERNATIONAL FILING DATE

PRIORITY DATE CLAIMED

PCT/JP2004/012180 August 25, 2004 August 25, 2003 APPARATUS FOR PREPARING OXIDE THIN FILM AND METHOD FOR PREPARING THE SAME TITLE OF INVENTION: APPLICANT(S) FOR DO/EO/US: Yutaka NISHIOKA (Shizuoka, Japan), Masahiko KAJINUMA (Shizuoka, Japan), Takakazu YAMADA (Shizuoka, Japan), Takeshi MASUDA (Shizuoka, Japan), Masaki UEMATSU (Shizuoka, Japan), Koukou SUU (Shizuoka, Japan) Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information: This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371. This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371. This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below. The U.S. has been elected (Article 31). 4. A copy of the International Application as filed [35 U.S.C. 371(c)(2)] a. is attached hereto (required only if not transmitted by the International Bureau). b. As been communicated by the International Bureau. c. is not required, as the application was filed in the United States Receiving Office (RO/US). 6. An English language translation of the International Application into English [35 U.S.C. 371(c)(2)]. a.
 is attached hereto.

- b. has been previously submitted under 35 U.S.C. 154(d)(4).
- 7. Amendments to the claims of the International Application under PCT Article 19 [35 U.S.C. 371(c)(3)]
 - a. are attached hereto (required only if not transmitted by the International Bureau).
 - b. have been communicated by the International Bureau.
 - c. have not been made; however, the time limit for making such amendments has NOT expired.
 - d. have not been made and will not be made.
- 8. An English language translation of the amendments to the claims under PCT Article 19 [35 U.S.C. 371(c)(3)].
- 9. An oath or declaration of the inventor(s) [35 U.S.C. 371(c)(4)].
- 10. An English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36 [35 U.S.C. 371(c)(5)].

Items 11 to 20 below concern other document(s) or information included:

- 11. An Information Disclosure Statement under 37 C.F.R. 1.97 and 1.98, PTO/SB/08a, and International Search Report.
- 12. An assignment document for recording. A separate cover sheet in compliance with 37 C.F.R. 3.28 and 3.31 is included.
- 13. A preliminary amendment.
- 14. An Application Data Sheet under 37 C.F.R. 1.76.
- 15. A substitute specification.
- 16. A power of attorney and/or change of address letter.
- 17. A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 C.F.R. 1.821 1.825.
- 18. A second copy of the published international application under 35 U.S.C. 154(d)(4).
- 19. A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).
- 20. Applicant herein qualifies for small entity status under 37 C.F.R. 1.9 and 1.27, and acknowledgement of this status by the U.S. Patent and Trademark Office is hereby requested.
- 21. Other items or information: Drawings (Figs. 1 25; 13 sheets), PCT/IB/311 (copy), WO 2005/020311 A1 (copy of page 1)

11112 Rec'd PCT/FTD 24 FEB 2006

| U.S. APPLICATION NUMBER (IF KNOWN, SEE 37 C.F.R. 1.50) NEW PATENT APPLICATION NUMBER NEW PATENT APPLICATION PROT/JP2004/012180 | | | | | ATTORNEY DOCKET NUMBER 026390-00034 | | |
|--|--|--------------|-----------------|------------|-------------------------------------|-----------------------|--------------|
| The following fees are submitted | | | | | | CALCIU ATIONS | DTO HEE ONLY |
| 22 Darie National Fee | | | | | | \$ 300.00 | PTO USE ONLY |
| 23. Examination Fee If International Preliminary Examination Report prepared by USPTO and all claims satisfy provisions of PCT Article 33(1)-(4) \$100.00 All other situations \$200.00 | | | | | | \$ 200.00 | |
| 24. Search Fee Search Fee (37 CFR 1.445(a)(2)) has been paid on the International Application to the USPTO as an International Search Authority \$100.00 International Search Report prepared and provided to the Office \$400.00 All other situations \$500.00 | | | | | | \$ 500.00 | |
| TOTAL OF CALCULATIONS FOR 21, 22 AND 23 | | | | | | \$ 1,000.00 | |
| Additional fee for specification and drawings filed in paper over 100 sheets excluding sequence listing or computer program listing filed in an electronic medium). The fee is \$250 for each additional 50 sheets of paper or fraction thereof. Total Sheets Number of each additional 50 or fraction Page 100 per page | | | | | | | |
| Total Sheets | Sheets Extra Sheets Number of each additional 30 or fraction thereof (round up to a whole number) | | | | Rate | | |
| - 100 = /50 = | | | | | X \$250.00 | \$ | |
| Surcharge of \$130.00 for furnishing the oath or declaration later than \(\sum 20 \subseteq 30 months \) from the earliest claimed priority date [37 C.F.R. 1.492(e)]. | | | | | | \$ | |
| Claim | s N | Number Filed | Number Extra | F | late | | |
| Total Claims | | 15 - 20 = 0 | | X \$ 50.00 | | \$.00 | |
| Independent Claims | | 3 - 3 = | -3 = 0 $X 200 | | 00.00 | \$.00 | |
| Multiple dependent claim(s) (if applicable) + \$360.00 | | | | | | \$.00 | |
| TOTAL OF ABOVE CALCULATIONS = | | | | | | \$ 1,000.00 | |
| Reduction by one-half for filing by small entity, if applicable. Verified Small Entity statement must also be filed. (Note 37 C.F.R. 1.9, 1.27, 1.28). | | | | | | \$ | |
| SUBTOTAL = | | | | | | \$ 1,000.00 | |
| Processing fee of \$130.00 for furnishing the English translation later the 20 30 months from the earliest claimed priority date [37 C.F.R. 1.492(f)]. | | | | | | \$ | |
| TOTAL NATIONAL FEE = | | | | | | \$ 1,000.00 | |
| Fee for recording the enclosed assignment [37 C.F.R. 1.21(h)]. The assignment must be accompanied by an appropriate cover sheet (37 C.F.R. 3.28, 3.31). \$40.00 per property + | | | | | | \$ | |
| TOTAL FEES ENCLOSED = | | | | | | \$ 1,000.00 | |
| | | | | | | Amount to be refunded | \$ |
| | | | | | | Charged | \$ |
| a. Check #441516 in the amount of \$1,000.00 to cover the above fees is enclosed. b. Please charge my Deposit Account Number 01-2300 in the amount of \$.00 to cover the above fee. A duplicate copy of this sheet is enclosed. c. Account Number 01-2300, referencing Attorney Docket Number 026390-00034. NOTE: Where an appropriate time limit under 37 C.F.R. 1.494 or 1.495 has not been met, a petition to revive (37 C.F.R. 1.137(a) or (b)) must be filed and granted to restore the application to pending status. | | | | | | | |
| SEND ALL CORRESPONDENCE TO: Customer Number 004372 ARENT FOX PLLC 1050 Connecticut Avenue, NW Suite 400 Washington, DC 20036-5339 Telephone: 202-857-6000 Fax: 202-638-4810 GEO:vmh GEO:vmh | | | | | | | |